# Part 3: Questionnaire

Please complete the questionnaire by filling out this form or go to link <https://forms.gle/gS5BCiBDuAoZcddU9>

1. **General Information**

|  |  |
| --- | --- |
| Name-Surname  |  |
| Company name |  | (Symbol |  ) |
| Position |  |
| Contact number |  |
| E-mail address |  |

 Category of the respondent (You may choose more than one)

|  |  |
| --- | --- |
| □ Issuer Company  | □ Securities Companies |
| □ Legal Advisor  | □ Individual Investor  |
| □ Institutional Investor | □ Others (please specify) …………...................... |

1. **Questions** (if you agree otherwise or disagree, please provide a reason)

**Question 1:** **Report on NAV**

Do you agree with daily NAV disclosures for mutual funds through the SET system, as per Association of Investment Management Companies (AIMC) regulations which are approved by the SEC?

|  |  |
| --- | --- |
| □ Agree |  |
| □ Agree, but the details are otherwise. | (please explain your reasoning) |
| □ Disagree | (please explain your reasoning) |
| Further Suggestions:  |

**Question 2: Disclosure of the Entitlement Date**

Do you agree with the adjustment of the disclosure period for the Entitlement Date of mutual funds to be at least 5 business days before the Entitlement Date?

|  |  |
| --- | --- |
| □ Agree |  |
| □ Agree, but the details are otherwise. | (please explain your reasoning) |
| □ Disagree  | (please explain your reasoning) |
| Further Suggestions:  |

**Question 3: Short sell price rule for Market Makers of VAYU1**

Do you agree with exempting the short selling price rule for VAYU1 Market Makers, allowing them to short sell VAYU1 investment units without price restrictions?

|  |  |
| --- | --- |
| □ Agree |  |
| □ Agree, but the details are otherwise. | (please explain your reasoning) |
| □ Disagree | (please explain your reasoning) |
| Further Suggestions:  |

**Question 4: Tick Size for trading of VAYU1 investment units**

Do you agree with adjusting the Tick Size of VAYU1 investment units to be a tiered structure, same as stocks?

|  |  |
| --- | --- |
| □ Agree |  |
| □ Agree, but the details are otherwise.  | (please explain your reasoning) |
| □ Disagree | (please explain your reasoning) |
| Further suggestions:  |

**Other Suggestions** ……………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………

Please submit your feedback back to MarketSupervisionPolicyDepartment@set.or.th by September 13, 2024 On this occasion, SET would like to thank you for your comments and suggestions.