# Part 3: Questionnaire

Please complete the questionnaire by filling out this form or go to <https://forms.gle/MAaxwe5PaRUBpwt69>

1. **General information**

|  |  |  |  |
| --- | --- | --- | --- |
| Name |  | | |
| Company Name |  | (Symbol | ) |
| Position |  | | |
| Contact Number |  | | |
| E-mail address |  | | |

Category of the respondent (You may choose more than 1 choice)

|  |  |
| --- | --- |
| □ Securities Company | □ Individual Investor |
| □ Institutional Investor | □ Other (please specify)................................ |

*The Stock Exchange of Thailand will process your personal information for the purpose of public hearing. Your personal information will be protected under the privacy notice of the Stock Exchange Group. Learn more details from https://www.set.or.th/en/privacy-notice.*

1. **Questions** (If you agree but details are otherwise or disagree, please provide reasons of your view)

**Question 1: Do you agree with the proposed amendment to the qualifications of short-selling stocks** by increase market capitalization and introduce monthly turnover for non-SET100 Index stocks?

|  |  |  |  |
| --- | --- | --- | --- |
| * 1. **Increase market capitalization to 7,500 million baht** | □ Agree | □ Agree, but the details are otherwise (Please explain) ................................................ | □ Disagree (Please explain) ..................... ................................... |
| * 1. **Introduce the 12-month average monthly turnover of 2%** | □ Agree | □ Agree, but the details are otherwise (Please explain) ................................................. | □ Disagree (Please explain) ..................... ................................... |
| Further suggestions: | | | |

**Question 2: Do you agree with the uptick rule (i.e. a price higher than the last trading price)** to reduce price volatility?

|  |
| --- |
| □ **Agree with** **Option 1** –Applyuptick rule, instead of zero-plus tick, to all securities |
| □ **Agree with** **Option 2** –Applyuptick rule only to securities when price declines |
| □ **Disagree with the uptick rule** (Please explain)............................................................................ |
| Further suggestions: |

**Question 3: Do you agree to strengthen supervision of the High-Frequency Trading (HFT)?**

|  |  |
| --- | --- |
| □ Agree |  |
| □ Agree, but the details are otherwise. | (Please explain) |
| □ Disagree | (Please explain) |
| Further suggestions: | |

**Question 4: Do you agree with the Introduction of a Minimum Order Resting Time** to retard the excessive update and/or cancellation of the order for specific period?

|  |  |
| --- | --- |
| □ Agree |  |
| □ Agree, but the details are otherwise. | (Please explain) |
| □ Disagree | (Please explain) |
| Further suggestions: | |

**Question 5: Do you agree with the disclosure of information of investors with inappropriate trading behavior to all members** to enable members to take actions to prevent investors with such behavior effectively?

|  |  |
| --- | --- |
| □ Agree |  |
| □ Agree, but the details are otherwise. | (Please explain) |
| □ Disagree | (Please explain) |
| Further suggestions: | |

**Question 6: Do you agree with the amendment of rules related to increasing the punishment imposed on members?**

|  |  |
| --- | --- |
| □ Agree |  |
| □ Agree, but the details are otherwise. | (Please explain) |
| □ Disagree | (Please explain) |
| Further suggestions: | |

**Other Recommendations**

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Please submit your feedback back to [MarketSupervisionPolicyDepartment@set.or.th](mailto:MarketSupervisionPolicyDepartment@set.or.th) by April 21, 2024.