

(Bor.Jor./Or. 01-00)

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Regulation of the Stock Exchange of Thailand
Re: Procedure for the Disclosure of Information and Submission of Documents of Listed Companies through the Electronic System B.E. 2560 (2017)

By virtue of the second paragraph (7) and (17) of Section 170 of the Securities and Exchange Act B.E. 2535 (1992), the Board of Governors of the Stock Exchange of Thailand, with the approval of the Securities and Exchange Commission, hereby issues the following rules.

1. This Regulation shall come into force from 9 October 2017 onwards. **Effectiveness**

2. The following shall be repealed: **Cancellation**
 - (1) Regulation of the Stock Exchange of Thailand Re: Rules on Reporting and Submission of Information of Listed Companies through the Electronic System (No. 1) B.E. 2541 (1998) dated 19 January 1998;
 - (2) Regulation of the Stock Exchange of Thailand Re: Rules on Reporting and Submission of Information of Listed Companies through the Electronic System (No. 2) B.E. 2544 (2001) dated 22 January 2001; and
 - (3) Regulation of the Stock Exchange of Thailand Re: Rules on Reporting and Submission of Information of Listed Companies through the Electronic System (No. 3) B.E. 2555 (2012) dated 16 January 2012.

3. In this Regulation: **Definition**
 - “Exchange” means the Stock Exchange of Thailand;
 - “Listed Company” means a company of which ordinary shares are listed on the Exchange including a person with the obligation to disclose information pursuant to the regulations of the Exchange in relation to disclosure of information;
 - “Electronic System” means the Exchange’s electronic system and procedures provided by the Exchange;
 - “Company Information Statement” means the annual updated information statement and the interim updated information statement according to the Regulations of the Stock Exchange of Thailand Re: Preparation and Submission of Financial Statements, Financial Reports and Operating Results of Listed Companies;

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Chapter 1
Disclosure of Information to the Public

4. Where a Listed Company is obliged to disclose any information to the Exchange, the Listed Company shall disclose such information through the Electronic System and ensure that the disclosure is done by the person authorized to disclose information of the Listed Company by having control and confirmation system for the disclosure in place. The Listed Company shall disclose such information in the Thai language with the English translation to the Exchange through such system, except the Company Information Statement and annual report which shall be disclosed by the Listed Company in the Thai language.

Disclosure of information

Any information disclosed by to the Exchange through the Electronic System in paragraph one shall be correct and consistent with the information in the original documents signed by the person authorized to disclose information of the Listed Company. The Listed Company shall submit such documents to the Exchange upon the Exchange's request.

5. When the Listed Company has disclosed information pursuant to the procedure in Clause 4, the Exchange will immediately disseminate such information to the public.

Dissemination of information

Where the Exchange opines that the information disclosed by the Listed Company or disseminated to the public under paragraph one contains content or information which is inappropriate or unbeneficial to the public, or which may contravene the law, the Exchange reserves the right not to disseminate or cancel the dissemination of such information and to require the Listed Company to disclose information by other means within the period specified by the Exchange as if the Listed Company has not disclosed any information to the Exchange.

The dissemination of information under this Regulation does not indicate that the Exchange certifies the accuracy of such information.

Chapter 2
Submission of Documents to the Exchange

6. Where a Listed Company is obliged to submit documents, reports, notices, or copies of any documents to the Exchange, the Listed Company shall submit such documents, reports, notices, or copies of such documents to the Exchange through the Electronic System and ensure that the submission is done by the person authorized to disclose information of the Listed Company by having control and confirmation system for the submission in place.

Submission of documents

Any documents, reports, notices, or copies of any documents submitted by the Listed Company to the Exchange through the Electronic System in paragraph one shall be correct and consistent with the original documents, reports, notices, or copies of the documents. The Listed Company shall submit such original documents, reports, notices, or copies of such documents to the Exchange upon the Exchange's request.

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Transitory Provision

7. The regulations, orders, circulars, procedures or policies of the Exchange issued under the repealed Regulations in Clause 2 which are in force before this Regulation comes into force or which remains in force due to the transitory provisions in those Regulations shall continue to remain in force to the extent that they do not contravene or contradict with this Regulation until the new regulations orders, circulars, procedures or policies on such matter come into force.

Transitory provision

8. Where any of the regulations, orders, circulars, procedures or policies of the Exchange is applied mutatis mutandis or refers to the repealed Regulations or the provisions of the repealed Regulations in Clause 2, such application or reference to those Regulations or provisions of those Regulations shall mean the application or reference to rules on such matter pursuant to this Regulation.

Transitory provision

9. Where a Listed Company is obliged to comply with the rules, conditions and procedures under the repealed Regulations in Clause 2 and has not duly complied with such rules, conditions and procedures, the Listed Company shall comply with the rules, conditions and procedures under this Regulation instead.

Transitory provision

Notified on 2 October 2017

(Signed) Chaiyawat Wibulswasdi
(Mr. Chaiyawat Wibulswasdi)
Chairman of the Board
The Stock Exchange of Thailand

Reason for Promulgation: As the Exchange deems it appropriate to revise the rules with respect to the procedure for the provision of documents or copy of the documents to the Exchange so that the procedure can be conducted through the electronic system in order to reduce the burden of those who are obliged to provide such documents or copy of such documents while ensuring that investors receive adequate information, it is therefore appropriate to issue this Regulation.