

Supporting Documents for Individuals / Juristic Persons

A copy of identification documents in the case of general undertakings submitted to Thailand Securities Depository Co., Ltd. (“TSD”) may contain sensitive personal data whereby such information is not necessary for the provision of service by TSD and TSD has no intention of storing such sensitive personal data. As a result, the document owners may proceed to cross out any part in order to cover up any sensitive personal data before submitting the identification documents containing such sensitive personal data to TSD. In an event no action is taken to cover up such sensitive personal data, TSD shall deem that you have consented to the storage of such sensitive personal data.

Furthermore, in case special action is required (such as where fingerprint is used instead of signature) such that additional information must be submitted which may include sensitive personal data and such additional information may be necessary for the provision of service by TSD, not providing such additional information may prevent TSD from providing the service to you. Also, in submitting such additional information, you agree and consent to TSD processing such sensitive personal data for the purpose of service provision.

Type of Individual / Juristic person	Detail
Identification documents in case of an individual	
Individual of Thai nationality	
● Individual	Original identity card and copy thereof certified true and correct by the identity card owner
● Minor	<ol style="list-style-type: none"> 1. Copy of the minor’s birth certificate or copy of the house registration containing the name of the minor certified true and correct by the minor’s legal representative 2. Original identity card of the minor’s legal representative and copy thereof certified true and correct by the identity card owner 3. Copy of the court order or certificate of divorce evidencing guardianship certified true and correct by the guardian (if any)
Individual of non-Thai nationality	
● Other nationality	Original alien identification card / passport and copy thereof certified true and correct by the document owner
Identification documents in case of the submission of the copy of court judgment or court order (court order issued by a Thai court)	
● Deceased person	<ol style="list-style-type: none"> 1. Copy of the deceased’s death certificate certified true and correct by the executor or administrator of the deceased’s estate 2. Copy of the court order appointing the executor or administrator of the deceased’s estate or the court order for the management of the deceased’s estate certified by the court official not more than 1 year ago and certified true and correct by the executor or administrator of the deceased’s estate 3. Copy of the certificate confirming the finality of the case certified by the court official not more than 1 year ago and certified true and correct by the executor or administrator of the deceased’s estate



	4. Identification documents of the executor or administrator of the deceased's estate according to the type of person
● Missing person	<p>1. Copy of the court order declaring the person to be a missing person and appointing the executor or administrator of the deceased's estate certified by the court official not more than 1 year ago and certified true and correct by the executor or administrator of the deceased's estate</p> <p>2. Copy of the certificate confirming the finality of the case certified by the court official not more than 1 year ago and certified true and correct by the executor or administrator of the deceased's estate</p> <p>3. Identification documents of the executor or administrator of the deceased's estate according to the type of person</p>
● Quasi-incompetent person	<p>1. Copy of the court order declaring the person to be a quasi-incompetent person and appointing the curator certified by the court official not more than 1 year ago and certified true and correct by the curator</p> <p>2. Copy of the certificate confirming the finality of the case certified by the court official not more than 1 year ago and certified true and correct by the curator</p> <p>3. Original identity card of the curator and copy thereof certified true and correct by the identity card owner</p> <p>4. Copy of the identity card of the quasi-incompetent person certified true and correct by the curator</p>
● Incompetent person	<p>1. Copy of the court order declaring the person to be an incompetent person and appointing the guardian certified by the court official not more than 1 year ago and certified true and correct by the guardian</p> <p>2. Copy of the certificate confirming the finality of the case certified by the court official not more than 1 year ago and certified true and correct by the guardian</p> <p>3. Original identity card of the guardian and copy thereof certified true and correct by the identity card owner</p> <p>4. Copy of the identity card of the incompetent person certified true and correct by the guardian</p>
Identification documents in case of a special kind of person	
● Group of person	<p>1. Copy of the document establishing the group of person and appointing the manager of the group of person certified true and correct by the manager of the group of person</p> <p>2. Original identity card of the manager of the group of person and copy thereof certified true and correct by the identity card owner</p> <p>3. Copy of the identity cards of every person certified true and correct by the identity card owners</p> <p>4. Copy of the tax identification card of the group of person certified true and correct by the manager of the group of person</p>



<ul style="list-style-type: none"> Non-juristic person ordinary partnership (unregistered) 	<ol style="list-style-type: none"> Copy of the document establishing the ordinary partnership and appointing the manager of the ordinary partnership certified true and correct by the manager of the partnership Original identity card of the manager of the ordinary partnership and copy thereof certified true and correct by the identity card owner Copy of the identity cards of every partner certified true and correct by the identity card owners Copy of the tax identification card of the ordinary partnership certified true and correct by the manager of the ordinary partnership
<ul style="list-style-type: none"> Private fund 	<ol style="list-style-type: none"> Copy of the identity cards of the securities holders certified true and correct by the identity card owners in case the securities holders are individuals, or copy of the certification document of the company certified not more than 1 year ago together with the copy of the identity cards of authorized directors in case the securities holders are juristic persons Copy of the power of attorney from the securities holders authorizing the asset management company to manage the private fund Copy of the certification document of the asset management company issued not more than 1 year ago Copy of the identity cards of the authorized persons of the asset management company certified true and correct by the identity card owners Copy of the power of attorney appointing the custodian (if any) Copy of the certification document of the custodian issued not more than 1 year ago (if any) Copy of the identity cards of the authorized persons of the custodian certified true and correct by the identity card owners (if any) <p>- Copy of the documents above shall be certified true and correct by the authorized persons</p>
<ul style="list-style-type: none"> Monk 	<ol style="list-style-type: none"> Personal monkhood certificate (original) and copy of every page thereof certified true and correct by the document owner Copy of the house registration or copy of the civil registration certified true and correct by the document owner Copy of the documents showing the promotion of the rank of monkhood certified true and correct by the document owner (if any)
Identification documents in case of a juristic person	
Juristic person of Thai nationality (established under Thai law)	
<ul style="list-style-type: none"> Private limited company / Public limited company 	<ol style="list-style-type: none"> Copy of the certification document issued by the Ministry of Commerce not more than 1 year ago certified true and correct by the authorized persons of the juristic person Copy of the identity cards, alien identification cards or passports of the authorized persons of the juristic person certified true and correct by the document owners



<ul style="list-style-type: none"> Registered ordinary partnership / Limited partnership 	<ol style="list-style-type: none"> Copy of the certification document issued by the Ministry of Commerce not more than 1 year ago certified true and correct by the managing partner Copy of the identity card of the managing partner certified true and correct by the identity card owner
<ul style="list-style-type: none"> Association 	<ol style="list-style-type: none"> Copy of the certification document of the association Copy of the notice of appointment of the authorized directors of the association Copy of the identity cards of the authorized persons of the association Other supporting documents prescribed by the registrar <p>- Copy of the documents above shall be certified true and correct by the authorized persons of the association</p>
<ul style="list-style-type: none"> Foundation 	<ol style="list-style-type: none"> Copy of the certification document of the foundation Copy of the notice of appointment of the authorized directors of the foundation Copy of the identity cards of the authorized persons of the foundation Other supporting documents prescribed by the registrar <p>- Copy of the documents above shall be certified true and correct by the authorized persons of the foundation</p>
<ul style="list-style-type: none"> Provident fund 	<ol style="list-style-type: none"> Copy of the certification document of the provident fund Copy of the power of attorney for the appointment of the fund manager Copy of the document of the fund manager <p>In case there is a delegation of power to appoint the custodian, additional documents must be presented</p> <ol style="list-style-type: none"> Copy of the power of attorney of the fund manager appointing the custodian Copy of the document of the custodian <p>- Copy of the documents above shall be certified true and correct by the authorized persons</p>
<ul style="list-style-type: none"> Mutual fund 	<ol style="list-style-type: none"> Copy of the certification document of the mutual fund Copy of the certification document of the asset management company issued by the Ministry of Commerce not more than 1 year ago Copy of the identity cards of the authorized persons of the asset management company <p>- Copy of the documents above shall be certified true and correct by the authorized persons</p>
<ul style="list-style-type: none"> Monastery 	<ol style="list-style-type: none"> Copy of the permit for the construction of the monastery of the Department of Religious Affairs or copy of the evidence of royal grant of land to the monastery Copy of the evidence of the appointment of the monastery abbot Copy of the personal monkhood certificate of the monastery abbot and copy of every page thereof certified true and correct by the document owner Copy of the evidence of the promotion of the rank of monkhood of the monastery abbot (if any) Other supporting documents prescribed by the registrar <p>- Copy of the documents above shall be certified true and correct by the monastery abbot</p>



<ul style="list-style-type: none"> ● Mosque 	<ol style="list-style-type: none"> 1. Copy of the certification document of the mosque (Mor. Or. 3 form) 2. Copy of the mosque registration (Mor. Or. 2 form) 3. Copy of the notice of appointment of the mosque committee 4. Copy of the announcement of appointment of imams, khatibs and bilals 5. Copy of the identity cards of the authorized persons of the mosque certified true and correct by the identity card owners 6. Other supporting documents prescribed by the registrar <ul style="list-style-type: none"> - Copy of the documents above shall be certified true and correct by the authorized persons
<ul style="list-style-type: none"> ● Christendom 	<ol style="list-style-type: none"> 1. Copy of the christendom registration 2. Copy of the notice of appointment of the authorized persons of the christendom 3. Copy of the identity cards of the authorized persons of the christendom 4. Other supporting documents prescribed by the registrar <ul style="list-style-type: none"> - Copy of the documents above shall be certified true and correct by the authorized persons
<ul style="list-style-type: none"> ● State agency 	<ol style="list-style-type: none"> 1. Copy of the document establishing the state agency 2. Copy of the notice of appointment of the authorized persons 3. Copy of the identity cards / government official identity cards of the authorized persons of the state agency 4. Other supporting documents prescribed by the registrar <ul style="list-style-type: none"> - Copy of the documents above shall be certified true and correct by the authorized persons
Juristic Persons of non-Thai nationality (juristic person established under the law of other country)	
<ul style="list-style-type: none"> ● Other nationality 	<ol style="list-style-type: none"> 1. Copy of the certification document issued by the competent government agency of the country where the juristic person has domicile 2. Copy of the document certifying the names of authorized directors of the juristic person together with the conditions or powers in relation to the signing of documents and the location of the head office issued by the officer of the juristic person or the competent government agency of the country where the juristic person has domicile 3. Copy of the identity cards or passports of the authorized directors of the juristic person certified true and correct by the document owners <p><u>In case the documents are prepared or certified true and correct in a foreign country, the following actions shall be taken:</u></p> <ol style="list-style-type: none"> 1. The notary public officer or any other competent agency in the country where such documents are prepared or certified true and correct shall certify the signature of the document preparer or certifier 2. The officer of the Embassy of Thailand or the Consul of Thailand in the country where such documents are prepared or certified true and correct shall certify the signature and seal of the notary public officer or any other agency which takes the actions under (1) <ul style="list-style-type: none"> ■ The certification of documents shall not be taken more than 1 year ago before the date of the submission of the application to the registrar ■ Documents prepared in a foreign language other than English shall be translated at least into English



<ul style="list-style-type: none"> Juristic person operating the business pursuant to Section 17 of the Foreign Business Act B.E. 2542 (1999) 	<ol style="list-style-type: none"> Copy of the document certifying the content stored by the registrar pursuant to the Foreign Business Act B.E. 2542 (1999) Copy of the passports of the persons responsible for the operation in Thailand certified true and correct by the authorized persons
Identification documents in case special action is required	
<ul style="list-style-type: none"> Where fingerprint is used instead of signature 	<ol style="list-style-type: none"> Medical certificate issued not more than 1 month ago which shall specify: <ul style="list-style-type: none"> - Reason for the inability to sign the document - Certification of sound mind and memory Original of the identity card of the securities holder and copy thereof certified true and correct by means of printing the fingerprint and signing by 2 witnesses accompanying the fingerprint Copy of the identity cards of 2 persons signing as witnesses to the printing of the fingerprint
<ul style="list-style-type: none"> Public limited company or juristic person which has ceased its business 	<ol style="list-style-type: none"> Copy of the certification document certifying the dissolution and liquidation of the company issued by the Ministry of Commerce specifying the name of the liquidator issued not more than 1 year ago certified true and correct by the liquidator Copy of the identity card of the liquidator certified true and correct by the identity card owner
<ul style="list-style-type: none"> Registered ordinary partnership, limited partnership or private limited company which has ceased its business 	<ol style="list-style-type: none"> Copy of the certification document certifying the dissolution and liquidation of the partnership / company issued by the Ministry of Commerce specifying the name of the liquidator issued not more than 1 year ago certified true and correct by the liquidator Copy of the identity card of the liquidator certified true and correct by the identity card owner

Remark 1. In case the securities holders make the self-contact, please present the original identity cards without the need to submit the copy.

- Please examine the details of the identification documents at the back side of the application form for each type of transaction.
- Sensitive Data means the information which can be considered as the personal data as prescribed in section 26 of the PDPA, for instance, religious belief, blood type, etc.
- TSD will consider the above documents when receiving the complete documents and clear information such as the copy of identification documents or signature.

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