**Market Maker Registration Form**

Date

To The President

 The Stock Exchange of Thailand

We, the securities company ("Company”),

a member of the Stock Exchange of Thailand no. would like to:

* Be registered as a market maker
* Be registered as a market maker whereby the company has an agreement to assign the company (“Assigned Juristic Person”) to be a market maker for the Company
* Certify the registration as a market maker for (“Certified Juristic Person”)

by providing liquidity for the following securities:

Please provide the information :

 To be registered as a new market maker (Please provide the information of Market Maker in No. 1-9).

 Being a registered market maker (Please provide the information of Market Maker in only No. 5).

1. Securities Name Symbol
2. Securities Name Symbol
3. Securities Name Symbol

 Remark: 1. In case of a market maker for the derivative warrants (DW) or Depository Receipt (DR/DRx) or Exchange Traded Funds (ETF), the registration shall be made only on the first occasion.

 2. In case the Stock Exchange of Thailand does not allow a company to be registered as a market maker for any or all of the additional securities, the company shall apply for the registration as a market maker again after the period during which the registration is not permitted by the Stock Exchange of Thailand has lapsed. After the registration, the market maker shall perform duties to provide liquidity for the securities under the conditions specified in the information submitted to the Stock Exchange of Thailand.

**Information of Market Maker**

1. General Information

Name of Company, Assigned Juristic Person or Certified Juristic Person (as the case may be)

Address

Business type

Registered capital million Baht

1. Having experience as a market maker up until the present:

 □ Yes, total of securities from (date) to

 □ No

If yes, the recently provided liquidity for the following securities:

* Name of securities period from to

Registered with the Exchange

Liquidity Provision Method □ Continuous quote □ Quote on request □ Others

* Name of securities period from to

Registered with the Exchange

Liquidity Provision Method □ Continuous quote □ Quote on request □ Others

* Name of securities period from to

Registered with the Exchange

Liquidity Provision Method □ Continuous quote □ Quote on request □ Others

1. Details of the department responsible for the liquidity provision:

Name of responsible department

Name of department head Position

Telephone number E-mail

Number of officers responsible for the liquidity provision persons

Name of officers responsible for the liquidity provision:

* Full name Position Telephone number

Previous experience of the liquidity provision

* Full name Position Telephone number

Previous experience of the liquidity provision

Contact person:

* Full name Position

E-mail address Telephone number

1. The analysis of the securities whose liquidity is to be monitored or relevant securities such as underlying securities etc. within the past 1 month:

 □ Yes □ No (If yes, please attach the latest analysis report)

1. Agreement on the provision of liquidity for the liquidity provision with the issuer\*

(If yes, please attach the liquidity contract executed with the securities issuer if any)

* 1. Liquidity Provision Method

□ Continuous quote □ Quote on request

□ Others (detail)

Please provide more details

* 1. Conditions for the cancellation of market maker status

\* Remark: If any changes in an agreement on the provision of liquidity for the liquidity provision with the issuer. The company shall apply for registration as a market maker by providing information (according to No. 5), to the Stock Exchange of Thailand within 30 days from the date of the change of such agreement.

1. The Company, Assigned Juristic Person or Certified Juristic Person (as the case may be) possesses readiness with regard to the system or procedure to perform its duties as a market maker as well as supervise its personnel who are in charge of providing liquidity as follows:
2. The Company, Assigned Juristic Person or Certified Juristic Person (as the case may be) has policy and measure for the risk management in the performance of securities liquidity provision including the risk of clearing and settlement of securities as follows:
3. The relationship between the market maker and the issuer within the past 3 years:
	1. Business relationship

 □ Yes □ No

If yes, please describe

* 1. Relationship created by cross-shareholding between the market maker and the issuer whether directly or indirectly:

 □ Yes □ No

If yes, please describe

1. In case the company has the agreement which assigns another juristic person to act as a market maker for the company. Please explain in details as follows,

9.1 The necessity of entering into a contract assigning another juristic person to act as a market maker

9.2 The relationship between the Company and the Assigned Juristic Person

9.3 The measures to protect unrelated persons’ confidential information;

9.4 The measures of information privacy;

9.5 The measures to prevent the Wash Sale by market maker /and between market maker and the company (in case of assigning duties to other juristic persons)​;

The Company hereby certifies that the above statements and all information or documents attached hereto are true and accurate in all respects and that the Company or Certified Juristic Person has the full qualifications and readiness to act as a market maker in accordance with both the Stock Exchange of Thailand and The Securities and Exchange Commission, Thailand’s requirements, including having experiences, working systems and personnel for liquidity provision. The Company agrees to comply, and supervise the market maker to strictly comply with any regulations, notifications and rules that are issued or may be prescribed in the future by the Stock Exchange of Thailand. If the Company has breached or failed to comply with such regulations, notifications or rules, the Company agrees to be liable to the Stock Exchange of Thailand.

 Signed \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Authorized person

 The Company’s seal (\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_)

 Date:\_\_\_\_\_\_\_\_/\_\_\_\_\_\_\_\_\_/\_\_\_\_\_\_\_\_\_\_

 Signed \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Authorized person

 (\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_)

 Date: \_\_\_\_\_\_\_\_/\_\_\_\_\_\_\_\_\_/\_\_\_\_\_\_\_\_\_\_

Contact Person of the Securities Company: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Telephone Number: \_\_\_\_\_\_\_\_\_\_\_\_\_

*Remark: In any action associated with personal data protection under this document. The company shall apply in accordance with the Personal Data Protection Act B.E.2562 (2019)​ and in relation to subordinate law and the future of the additional amendment which hereinafter referred to Personal Data Protection Act (PDPA)​, including necessary actions for*

*the data owner to be entitled to read and understand details in the privacy notice by SET Group and operations in which the data owner has given the consent in case Personal Data Protection Laws required the consent of the data owner.*