**TSD-301** 

## **Application for Amending Securities Holder Records**



Highly Confidential

I/We	national I.D. card/passport/company Registration number			
hereby request for Thailand Securities Depos	sitory Co., Ltd. (" <b>TSD</b> ") to amend the following information	of the securities holder:		
Type of information	Specify Original Information	Specify the Information Requirin	g Amendment	
	(Pre-Amendment)			
☐ Honorific (Mr./Mrs./Miss)	>			
First name - last name	>			
☐ For general contacts	> >			
☐ Mailing address for the delivery of	☐ Use the newly changed address above			
proxy and meeting invitation documents	Specify the address*			
(principal address) where there is a share				
balance on the XM date	* This is the address pursuant to the register notified to TSD or securities depositing member company			
	(broker/custodian) which will then affect the selection	<u> </u>		
Postal code	>			
Home/Office telephone No.	>			
Mobile phone	>			
☐ email address	>			
This email address is used for getting the				
result of amendment from TSD				
Other	>			
<ul> <li>◆ Amendment of the information will be effective when TSD has received request at least 5 business days prior to the book closing date. In an event you deposit the securities with the securities depositing member company (broker/custodian), please contact your securities depositing member company directly for the amendment of any information.</li> <li>◆ Mailing address for the delivery of documents should be convenient for the purpose of document receipt via registered mail, but the address must not be specified as a post office box as stipulated by the Department of Business Development, Ministry of Commerce.         I/We hereby certify that the aforementioned statements are true. Should TSD incur any damage or potential damage as a result of the undertaking specified above, I/We shall be fully responsible for any damage caused and shall compensate TSD in full.</li></ul>				
(		_		
·			Stamp Duty	
I/We here by authorizeas my/our representative, with full authority to file this application. THB 10			THB 10	
Signature	Grantor Signature			
()				
For official use only	official use only  I have already checked all original identification documents			
DateTransaction noCheckerSignatureOfficer				

TSD301\_V. 5/2023\_EN\_1/9/2023



Highly Confidential

## Supporting Documents for the Application for Amending Securities Holder Records

- 1. Application for amending of securities holder records with the details filled in and signed by the securities holder correctly and completely.
- ${\bf 2. \ Amendment \ supporting \ documents} \ \underline{in \ case \ of \ change \ to \ title/name/surname \ please \ attach:}$ 
  - certificate of change to title, name or surname, marriage certificate, divorce certificate, as the case may be; and
  - Original securities certificate (original name/surname prior to the amendment) together with the application for the issuance of new securities certificate requesting registrar to issue a new securities certificate (new name/surname as per the amendment application).
- 3. Identification documents of securities holder and authorized person (if any) pursuant to the type of person with the details as per the table below.
  - In case of other types of person, please ask for additional information at SET Contact Center accordingly to the contact channels further below in the application form or https://media.set.or.th/rulebook/form/SupportingDocumentsforIndividuals-JuristicPersons.pdf
- 4. Copy of identification documents submitted to TSD may contain sensitive personal data which is not necessary for the provision of TSD service, and TSD has no intention of collecting such sensitive personal data. As a result, the document owners may proceed to cross out any part in order to cover up any sensitive personal data before submitting the identification documents containing such sensitive personal data to TSD. In case that no action is taken to cover up such sensitive personal data, TSD shall deem that you have given your consent to TSD to the collection of such sensitive personal data.

Type of person	Identification Documents only to support the request to change name/ surname	Documents for other types of requests		
Individual person	1. In case the securities holder contacts TSD personally, the original Thai national identification card (Thai ID Card) or digital	A Copy of Thai ID Card of the		
- Thai Nationality	identification cards and the certification form for the civil history register via digital system (Tor.Ror12/2) of the	securities holder which has been		
	securities holder must be presented.	certified as true and correct copy		
	2. In case the securities holder does not contact TSD personally, the securities holder must authorize a person to act on his/her	of the original document by the		
	behalf, and such person must present the original Thai ID Cards of the securities holder and its copy which has been certified	document owner.		
	as true and correct copy of the original document by the document owner.			
	* If the original Thai ID Card of the securities holder cannot be presented, please attach the original of the certification document			
	issued by the sub-district or district office, identifying to use those certified true copies instead of the original Thai ID Card			
	(issued not more than 90 days before the date of submission as part of this application).			
	3. In case filing this application via registered mail, please attach,			
	- Copies of Thai ID Card of the securities holder which has been certified as true and correct copy of the original document by			
	the document owner.			
Individual person	1. In case the securities holder contacts TSD personally, the original alien identification card or passport of the transferor and	A Copy of alien identification card /		
- Other Nationalities	the transferee must be presented.	passport which has been certified as		
	2. In case the securities holder does not contact TSD personally, the securities holder appointed contact person must present	true and correct copy of the original		
	the original alien identification card or passport and its copy which has been certified as true and correct copy of the original	document by the document owner.		
	document by the document owner.			
	* If the original passport cannot be presented or this application is being submitted by registered mail,			
	- The copy of the passport must be notarized by a notary public, and such notarization must be certified by the Thai			
	embassy or consulate.			
	Such certification must be issued not more than 1 year before the date of submission as part of this application.			
	* If the original signatory's alien identity card cannot be presented or this application is being submitted by registered mail,			
	- Certificate of civil registration (issued not more than 90 days before the date of submission as part of this application)			
Juristic person	1.1. A copy of an affidavit issued by the Ministry of Commerce (issued no more than 1 year from the date of issuance) certified by the authorized director(s) of such juristic person.			
- Thai Nationality	2. A copy of the Thai ID Card/alien identity card/passport of each authorized director who signs on behalf of the juristic person which has been certified as true and correct			
	copy of the original document by the document owner.			
Juristic person	1. A copy of the juristic person registration certificate issued by the regulating government agency in the country where such juristic person is domiciled.			
- Other Nationalities	2. A copy of the company's affidavit enumerating its directors authorized to bind the company and conditions relating thereto, showi	ng the juristic person's		
	headquarters location and authority of the signatory. This affidavit must be issued by an authorized official of the juristic person or the governmental agency in the			
	country where such juristic person is domiciled.			
	3. A copy of the Thai ID Card/alien identity card/passport of each authorized director who signs on behalf of the juristic person which has been certified as true and			
	correct copy of the original document by the document owner.			
	All documents for "Other Nationalities" above, must have been:			
	(1) notarized by the notary public or certified by any competent authority in the country where such documents were prepared or certified for the certification of the			
	signature of the person who prepared or certified such documents. (The certification must be issued not more than 1 year before the date of submission as part of			
	this application.)			
	(2) certified by the Thai Embassy or Thai Consulate in the country where such documents were prepared or certified for the notarization or certification performed by			
	the public notary or such competent authority under (1). (The certification must be issued not more than 1 year before the date of submission as part of this application.)			
	■ The documents prepared in any other foreign languages other than English must be translated into English.			

Remark: 1. Sensitive Data means the information which can be considered as the personal data as prescribed in section 26 of the PDPA, for instance, religious belief, blood type, etc.

2. TSD will consider the above documents when receiving the complete documents and clear information such as the copy of identification documents or signature.

TSD301\_V. 5/2023\_EN\_1/9/2023

