

I/We _____ as the executor or administrator of the deceased's estate of _____ (hereinafter referred to as the "Intestate") intend for Thailand Securities Depository Co., Ltd. ("TSD") to transfer the securities of the deceased to the heirs pursuant to the list of names and the amount of shares specified in the document showing the details of the securities of the deceased transferred totaling _____ items attached to this application form.

■ Name of inherited securities _____
 national I.D. card/passport number _____ nationality _____ occupation _____
 *mailing address _____
 postcode _____ home phone no. _____ office phone no. _____
 mobile phone number _____ email address _____
 *In case you are the first time securities holder, the address above will be the main and only address for sending proxy form and meeting invitation documents

In my/our capacity as the estate administrator hereby certify that

1. I have managed the securities of the deceased honestly and in accordance with the law and represent that the content in the application form, the document showing details of the securities of the deceased transferred and the documents supporting the securities transfer ("Documents") is true, and in an event any damage is or may be incurred by the TSD as a result of action taken pursuant to the above, I accept any liabilities for the damage which arises and agree to pay any damages to the TSD in full.
2. In case that I/we have transferred securities not in compliance with income tax exemption in any way, I/We acknowledge that there may be a tax liability arising out of such action pursuant to the regulations of the Revenue Department of Thailand. In addition, I/We acknowledge that TSD may disclose any information appear in this document to the Revenue Department of Thailand or any government agencies that have the authority to request such information.
3. I/We have thoroughly read all the details specified in the privacy notice of the Stock Exchange of Thailand group ("SET Group") (<https://www.set.or.th/th/privacy-notice.html>) and acknowledged that my personal data, and any third party's personal data I have provided to TSD (if any) will be processed and protected under such privacy notice. In this regard, I/We hereby confirm and represent that I/We have procured such third party to read all the details specified in such privacy notice and have duly obtained a proper and lawful consent from such third party to provide the personal data of the third party to TSD.
4. In addition, I/We hereby acknowledge that in case of any special action (such as where fingerprint is used instead of signature) which additional information that may contain sensitive personal data is required to be submitted to TSD and such additional information is necessary for the provision of TSD service, not providing such additional information may render TSD to be unable to provide TSD service to me/us. Hence, by signing this application, I/we agree and consent that such sensitive personal data to be processed by TSD in connection with the purpose of the provision of TSD service.

Signature _____ the executor or administrator of the deceased's estate
 (_____) Phone no. _____
 email address _____

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If a proxy has been assigned, please sign as both the estate administrator and the grantorStamp Duty
THB 10

I/We (**the estate administrator**) here by authorize _____ as my/our representative, with full authority to file this application. ☐ to file this application ☐ to file this application and receive the securities certificate.

*In case the executor or administrator of the deceased's estate is inheritor.

Signature _____ the Grantor Signature _____ the Grantee
(_____) (_____) Phone no. _____

If the inheritor is not the estate administrator and a proxy has been assigned, please sign as the grantor

I/We (**the inheritor**) here by authorize _____ as my/our representative, receive the securities certificate.

Signature _____ the Grantor Signature _____ the Grantee
(_____) (_____) Phone no. _____

For official use only

Date _____ Checker _____

I have already checked all original identification documents

Signature _____ Officer

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Application for the Administration of Estate

Details of the securities of the deceased transferred

No.	Details of the securities of the deceased transferred		For official use only Transaction no.
<input type="checkbox"/>	Securities name (Please specify the securities name or securities symbol)	
	Securities certificate number (Able to specify the securities certificate number more than 1 item.)	
	A total of.....shares/units Number of transferred securities.....shares/units		
<input type="checkbox"/> I ask the registrar to <input type="checkbox"/> certify the transfer with a rubber stamp on the original certificate <input type="checkbox"/> issue a new share certificate <input type="checkbox"/> Please deliver the new share certificate <input type="checkbox"/> by hand at TSD <input type="checkbox"/> by registered mail <input type="checkbox"/> to the issuing company, to be picked up there.			
<input type="checkbox"/>	Securities name (Please specify the securities name or securities symbol)	
	Securities certificate number (Able to specify the securities certificate number more than 1 item.)	
	A total of.....shares/units Number of transferred securities.....shares/units		
<input type="checkbox"/> I ask the registrar to <input type="checkbox"/> certify the transfer with a rubber stamp on the original certificate <input type="checkbox"/> issue a new share certificate <input type="checkbox"/> Please deliver the new share certificate <input type="checkbox"/> by hand at TSD <input type="checkbox"/> by registered mail <input type="checkbox"/> to the issuing company, to be picked up there.			
<input type="checkbox"/>	Securities name (Please specify the securities name or securities symbol)	
	Securities certificate number (Able to specify the securities certificate number more than 1 item.)	
	A total of.....shares/units Number of transferred securities.....shares/units		
<input type="checkbox"/> I ask the registrar to <input type="checkbox"/> certify the transfer with a rubber stamp on the original certificate <input type="checkbox"/> issue a new share certificate <input type="checkbox"/> Please deliver the new share certificate <input type="checkbox"/> by hand at TSD <input type="checkbox"/> by registered mail <input type="checkbox"/> to the issuing company, to be picked up there.			

Signature _____ the executor or administrator of the deceased's estate

(_____)

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1. **Application for administration of estate** with the details completely filled in and signed by the executor or administrator of the deceased's estate.
2. **Copy of the court order issued by a Thai Court appointing the executor or administrator of the deceased's estate and copy of the certificate confirming the finality of the case certified by the court not more than 1 year ago** signed certify true and correct copy by the executor or administrator of the deceased's estate (every page).
3. **Copy of the deceased's death certificate** signed certify true and correct copy by the executor or administrator of the deceased's estate
4. **Original securities certificate** at the back
Transferor space endorsed by the executor or administrator of the deceased's estate.
Transferee space endorsed by the receiver of the inheritance securities.
Remarks: In case the executor or administrator of the deceased's estate is a receiver of the inheritance securities, the executor or administrator of the deceased's estate shall affix his or her name in the transferor and transferee spaces.
5. **Identification documents of the executor or administrator of the deceased's estate and the transferee and authorized person (if any)** pursuant to the type of person with the details as per the table below.
 - In case of other types of person, please ask for additional information at SET Contact Center accordingly to the contact channels further below in the application form or <https://media.set.or.th/rulebook/form/SupportingDocumentsforIndividuals-JuristicPersons.pdf>
6. Letter of Confirmation of Investor's Qualification in Securities Transfer Restriction. (for securities subject to transfer restriction as specified in the stipulations of rights or prospectus of the securities issuers), which may be downloaded at <https://media.set.or.th/rulebook/form/หนังสือรับรองคุณสมบัติการเป็นผู้ลงทุนในหลักทรัพย์ที่มีข้อจำกัดการโอน.pdf>
7. Copy of identification documents in the case of general securities ownership transfer submitted to TSD may contain sensitive personal data which is not necessary for the provision of TSD service, and TSD has no intention of collecting such sensitive personal data. As a result, the document owners may proceed to cross out any part in order to cover up any sensitive personal data before submitting the identification documents containing such sensitive personal data to TSD. In case that no action is taken to cover up such sensitive personal data, TSD shall deem that you have given your consent to TSD to the collection of such sensitive personal data.

Type of person	Identification Documents
Individual person - Thai Nationality	<ol style="list-style-type: none"> 1. In case the executor/administrator of the deceased's estate and the transferee contact TSD personally, the original Thai national identification card (Thai ID Card) or digital identification cards and the certification form for the civil history register via digital system (Tor.Ror.12/2) of the transferor and the transferee must be presented. 2. In case the executor or administrator of the deceased's estate does not contact TSD personally, the executor or administrator of the deceased's estate appointed contact person must present the original Thai ID Card of the transferor and its copy which has been certified as true and correct copy of the original document by the document owner. 3. In case the transferee does not contact TSD personally, the transferee must authorize a person to act on his/her behalf, and such person must present the original Thai ID Cards of the transferee and its copy which has been certified as true and correct copy of the original document by the document owner. 4. In case filing this application via registered mail, please attach, <ul style="list-style-type: none"> - Copies of Thai ID Card of the executor/administrator of the deceased's estate and the transferee which has been certified as true and correct copy of the original document by the document owner.
Individual person - Other Nationalities	<ol style="list-style-type: none"> 1. In case the executor/administrator of the deceased's estate and the transferee contact TSD personally, the original alien identification card or passport of the transferor and the transferee must be presented. 2. In case the executor/administrator of the deceased's estate does not contact TSD personally, the executor/administrator of the deceased's estate appointed contact person must present the original alien identification card or passport and its copy which has been certified as true and correct copy of the original document by the document owner. 3. In case the transferee does not contact TSD personally, the transferee must authorize other person to act on his/her behalf, and such person must present the original alien identification card or passport of the transferee and its copy which has been certified as true and correct copy of the original document by the document owner. <p>* If the original passport cannot be presented or this application is being submitted by registered mail, The copy of the passport must be notarized by a notary public, and such notarization must be certified by the Thai embassy or consulate. Such certification must be issued not more than 1 year before the date of submission as part of this application.</p> <p>* If the original signatory's alien identity card cannot be presented or this application is being submitted by registered mail, - Certificate of civil registration (issued not more than 90 days before the date of submission as part of this application)</p>

Remark : 1. Sensitive Data means the information which can be considered as the personal data as prescribed in section 26 of the PDPA, for instance, religious belief, blood type, etc.

2. TSD will consider the above documents when receiving the complete documents and clear information such as the copy of identification documents or signature.

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