

### Assessment of the Operation of Business in the Prescribed Industry (Self-Assessment)

**Purpose :** The applicant and financial advisor shall prepare the self-assessment application in case of the submission of listing application based on the rules for the listing of ordinary shares for companies in the prescribed industries in order to demonstrate that the prescribed industry **is** or will become, **by virtue of policy**, the applicant's core business.

#### 1. General information of the applicant

**Name** \_\_\_\_\_

**Secondary market** ☐ SET ☐ mai

**Industry group / business category** (pursuant to the definition of Industry / Sector of the SET) \_\_\_\_\_ / \_\_\_\_\_

**Status** ☐ Thai company ☐ Foreign company

**Type of business operation** \_\_\_\_\_

#### 2. Assessment of prescribed industries (please also disclose the information in this section in the filing)

##### 2.1 Business overview

2.1.1 The prescribed industry which is or will become the applicant's core business

- ☐ Advanced agriculture and food
- ☐ Biofuel and biochemical
- ☐ Advanced healthcare service
- ☐ Creative tourism
- ☐ Next-generation automotive
- ☐ Aviation and logistic total solution
- ☐ Digital and e-commerce
- ☐ Smart electronics
- ☐ Robotics
- ☐ Technology and innovation development i.e. biotechnology, nanotechnology, digital technology, advanced material technology (please clearly specify) \_\_\_\_\_

2.1.2 Describe the business which has obtained the investment promotion certificate from the Office of the Board of Investment (BOI) at present and which is eligible for the promotion throughout the period of the application (if any) based on the [list of business categories prescribed by the BOI](#)

- ☐ Have obtained BOI, please specify the business category \_\_\_\_\_  
industry \_\_\_\_\_ entitlement category \_\_\_\_\_  
commercial income generation commencement date \_\_\_\_\_  
(please also submit a copy of the investment promotion certificate from the BOI which specifies the business category for which the applicant has obtained the investment promotion from the Board of BOI)
- ☐ Non-BOI

## 2.2 Products and services

2.2.1 Describe the characteristics or properties of the products or services which demonstrate the operation of business in the prescribed industry, the creation of added value for the products and services reflecting the use of innovation and technology in developing the products or services that is different from the products and services in their original form

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2.2.2 Specify the income structure for the past 3 years which shall at least consist of income from the operation of business in the prescribed industry and the total operating income pursuant to the rules prescribed<sup>1</sup> based on the information in the financial statements audited or reviewed by an auditor pursuant to the financial statements or the consolidated financial statements

	Year.....	Year.....	Year.....	Latest Accumulation Period
Income from the operation of business in the prescribed industry				
Total operating income				
Ratio of income from the operation of business in the prescribed industry to the total operating income				
Growth rate of income from the operation of business in the prescribed industry compared with the previous year				

2.2.3 Describe the plan for the operation of business in the prescribed industry to demonstrate that such operation is the applicant's core business or will, by virtue of policy, become the applicant's core business by specifying a clear timeline and method for the conduct of research and/or development of products and services that employ technology or innovation as well as assets and source of fund to be used in the business operation

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<sup>1</sup> The minimum income from operation shall be pursuant to the following rules:

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Total operating income in the latest year	Baht 5,000 million (except where the applicant received promotion from the BOI at A1-A2 level)	Baht 1,000 million (except where the applicant received promotion from the BOI at A1-A2 level)
Income from the operation of business in the prescribed industry in the latest year	1) More than 50% of the total operating income; or 2) Baht 1,000 million with the growth rate of 20% from the previous year	1) More than 50% of the total operating income; or 2) Baht 200 million with the growth rate of 20% from the previous year

### 2.3 In case of a foreign company, benefit must be created for the Thai economy

The applicant shall create benefit for the Thai economy at a significant level within the previous year and shall describe and present any one or several pieces of information based on the information from the latest annual financial statements as follows:

Consideration Factor (please present any one or several pieces of information)	Year.....
The fact Thailand is an important production base	
<input type="checkbox"/> The investment or use of raw materials in Thailand compared with the amount of investment or use of raw materials by the applicant shall not be less than 15 percent and not less than Baht 500 million	
<input type="checkbox"/> The employment of Thai persons compared with the total number of personnel in Thailand shall not be less than 50 percent and not less than 200 persons	
The fact that the people in Thailand use the products or services of the foreign company	
<input type="checkbox"/> The income from the operation of business in Thailand compared with the total income of the applicant shall not be less than 15 percent and not less than Baht 750 million	
<input type="checkbox"/> The number of product or service users in Thailand compared with the total number of customers of the applicant shall not be less than 15 percent	

### 2.4 Other information to support the consideration

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